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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 12

Application Number	10/699,713
Filing Date	November 3, 2003
First Named Inventor	James R. Becker
Art Unit	3744
Examiner Name	William Charles Doerrier
Attorney Docket No.	ARS-103US

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment/Reply
 - ☐ After Final
 - ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/
Incomplete Application
 - ☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a
Provisional Application
- ☐ Power of Attorney, Revocation,
Change of Correspondence
Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____
- ☐ Landscape Table on CD

- ☐ After Allowance Communication
to TC
- ☐ Appeal Communication to Board
of Appeals and Interferences
- ☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply
Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please
identify below): Transmittal
Sheet; Part B Fee(s) Transmittal
(1 & 1 copy); PTO-2038; Copy
of filing of 5/24/05 Notification of
Erroneous Filing of Small Entity
Statement; Copy of 5/24/05
Transmittal; Copy of 5/24/05
PTO-2038; Copy of 5/24/05 Fee
Transmittal (in duplicate); and
Return Postcard.

Remarks:

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm Name	RatnerPrestia		
Signature			
Printed Name	Allan Ratner		
Date	January 25, 2006	Registration No.	19,717

CERTIFICATE OF TRANSMISSION / MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or Printed Name	Kathleen Spina	Date	January 25, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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Appln. No.: 10/699,713

ARS-103US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

Appln. No: 10/699,713
Applicant: James R. Becker
Filed: November 3, 2003
Title: DRY ICE BLOCK EXTRUDER
TC/A.U.: 3744
Examiner: William Charles Doerrler
Confirmation No.: 3526
Docket No.: ARS-103US

**NOTIFICATION OF ERROR IN PAYMENT OF FEE(S) AS A SMALL ENTITY
(37 C.F.R. § 1.28(c))**

Erroneous Filing of Small Entity Statement

- On November 3, 2003, a small entity assertion was erroneously filed in this
☒ application
☐ patent
- This assertion of small entity status in this application and the payment of fee(s) as a small entity was/were made in good faith.
- It has now been discovered that such status as a small entity was established in error.

Itemization of the Fee(s) Erroneously Paid as Small Entity

FEE(S) ERRONEOUSLY PAID AS A SMALL ENTITY	FEE ACTUALLY PAID AS A SMALL ENTITY	DEFICIENCY OWED
<input checked="" type="checkbox"/> Filing fee paid on <u>11/3/03</u>	\$ 385.00	\$ -85.00
<input type="checkbox"/> Fee for excess claims (over 20 paid on _____)	\$	\$
<input type="checkbox"/> Fee for multiple claims paid on _____	\$	\$
<input checked="" type="checkbox"/> Search fee paid on <u>11/3/03</u>	\$ 0	\$ 500.00
<input checked="" type="checkbox"/> Examination fee	\$ 0	\$ 200.00
<input type="checkbox"/> Extension of time fee paid on _____	\$	\$
<input type="checkbox"/> The issue fee paid on _____	\$	\$

Appln. No.: 10/699,713

ARS-103US

☐ _____ maintenance fee
paid on _____

\$

\$

☐ Other:

\$

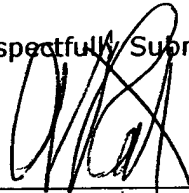
\$

**Total deficiency
owed**

\$ 615.00

COPY

Respectfully Submitted,



Allan Ratner, Reg. No., 19,717
Attorney for Applicant(s)

AR/ks

Dated: May 24, 2005

P.O. Box 980
Valley Forge, PA 19482
(610) 407-0700

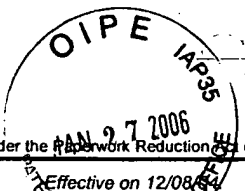
The Commissioner for Patents is hereby
authorized to charge payment to Deposit
Account No. 18-0350 of any fees associated
with this communication.

I hereby certify that this correspondence is being deposited
with the United States Postal Service as first class mail,
with sufficient postage, in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450 on: May 24, 2005



Kathleen Spina

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Effective on 12/08/04
Fees pursuant to the Consolidated Appropriations Act. 2005 (H.R. 4818).**FEE TRANSMITTAL**
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 615.00**Complete if Known**

Application Number	10/699,713
Filing Date	November 3, 2003
First Named Inventor	James R. Becker
Examiner Name	William Charles Doerfler
Art Unit	3744
Attorney Docket No.	ARS-103US

COPY**METHOD OF PAYMENT** (check all that apply)☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☐ Deposit Account Deposit Account Number: 18-0350 Deposit Account Name: RatnerPrestia

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Small Entity	
Fee (\$)	Fee (\$)
50	25
200	100
360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims
- 20 or HP =	x	=		Fee (\$) Fee Paid (\$)

HP = highest number of total claims paid for, if greater than 20

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP =	x	=	

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): **Deficiency in erroneously filed small entity fees****Fees Paid (\$)**
615.00**SUBMITTED BY****Complete (if applicable)**

Signature		Registration No. Attorney/Agent)	19,717	Telephone	610-407-0700
Name (Print/Type)	Allan Ratner	Date	May 24, 2005		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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